

STATE OF ILLINOIS
INTERNAL AUDIT ADVISORY BOARD

Web Address: [HTTP://SIAAB.AUDITS.UILLINOIS.EDU](http://SIAAB.AUDITS.UILLINOIS.EDU)

MINUTES

SIAAB Fall Government Auditing Conference
October 25, 26 and October 27, 2016

ROLL CALL

Members Present/Location:

Stephen Kirk (Chair), Department of Transportation - Springfield
Tracy Allen (Vice-Chair), Office of the Comptroller – Springfield
Gary Shadid, Illinois Department of Agriculture - Springfield
H. Jay Wagner, Office of the Attorney General - Springfield
Leighann Manning, Office of the Treasurer – Springfield
Debbie Abbott, Central Management Services – Springfield
Jane Hewitt, Department of Human Services – Springfield
Julie Zemaitis, University of Illinois – Springfield

Absent

Stell Mallios, Secretary of State

MINUTES

The SIAAB Fall Government Auditing Conference was held on October 25, 26 and 27, 2016 at the Northfield Center, 3280 Northfield Drive, Springfield, IL. The Conference was scheduled, in accordance with the Fiscal Control and Internal Auditing Act requirements, to provide internal audit training only and accordingly, there were no Board actions taken, nor were there any motions taken or voted upon during the training event. There were 158 participants registered, 156 people attended at least 1 day of the conference.

OCTOBER 25, 2016

Registration for the Conference began at 8:00 am. Opening remarks were made at 8:30 am by Mr. Stephen Kirk, SIAAB Chair.

The first presentation was made by Sargent Michael Moore from the Secretary of State, Capitol Police. The presentation focused on building security requirements and protection offered by the Capitol Police in Capitol complex.

The second presentation was made by Tammy Wyche, Director of the IIA's Environmental, Health and Safety Audit Center. The presentation focused on conducting Environmental, Health and Safety audits and assessing risk in those areas.

The third presentation was made by Rick Beaty, Secretary of State Bomb Squad manager. The presentation focused on explosive devices they encounter, what the bomb squad does and how to react when suspicious devices are found.

The fourth presentation was made by Shondra Johnson, professor at Bradley University. The presentation focused on effective communication and active listening skills needed when conducting an investigation.

The fifth presentation was made by Matt Van Hise, Assistant Attorney General and Consumer Privacy Counsel at the Illinois Attorney General's Office. The presentation focused on safeguarding personally identifiable information to avoid identity theft.

The first day of the two-day Conference adjourned at 4:20 pm.

OCTOBER 26, 2016

Registration for the 2nd day of the Conference began at 7:45 am. Opening remarks were made at 8:25 am by Mr. Stephen Kirk, SIAAB Chair.

The first presentation was made by Kirk Lonbom, Illinois Chief Information Security Officer. The presentation focused on cyber security measures and information technology security services available through DoIT.

The second presentation was made by Dave Joens and Robert Boots, Director and Chief Deputy (respectively) of the Illinois State Archives. The presentation focused on the identification, retention and destruction of state records.

The third presentation was made by Frank Mautino, Auditor General of the State of Illinois, Bruce Bullard CPA, Director of the Financial/Compliance Audit Division, Bill Sampias CISA, Director of Information Systems Division, and Ameen Dada, Director of Performance Audits. The presentation focused on problems areas identified in prior audits by the office and areas agencies may want to concentrate their efforts in future audits.

The fourth and fifth presentations were made by Ron Hulshizer, CMA, CGEIT, and CISA, Managing Director of IT Risk Services for BKD in the Oklahoma City office. The presentations focused on 10 cyber security concerns and how to mitigate their risk for you organization.

The sixth presentation was made by Jalseea Davis, from the Illinois Treasurer's Office. The presentation focused on EPay services and PCI concerns from the Treasurer's Office.

A brief question and answer period and group discuss took place with Tracy Allen, Chief Internal Auditor from the Illinois Office of the Comptroller facilitating. The discussion focused on status of the Statewide ERP project and the sunset of the legislation forming the Financial Reporting Standards Board.

Concurrent with the afternoon presentations, Julie Zemaitis facilitated the University Auditors' Roundtable Discussion.

The second day of the two-day Conference adjourned at 4:20 pm.

OCTOBER 27, 2016

Registration for day 3 began at 7:45 am.

Concurrently Jack Rakers facilitated an Information Technology a round table discussion, Scott Blankenship facilitated a round table discussion for staff auditors and Steve Kirk facilitated the Chief Internal Auditors' round table discussion.

The third day of the three-day Conference adjourned at 11:30 am.